

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Richard F. Bergen

Application No.:

Group:

Filed:

Examiner:

Title: **LIGHT ALTERING DEVICE**

Commissioner for Patents

Washington, D.C. 20231

Sir:

CERTIFICATE OF MAILING

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William A. Henry, II

William A. Henry, II

(Signature)

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. It is respectfully requested that the reference(s) and information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (which is not a CPA), or (b) before the mailing date of a first Office Action on the merits.

No certification or fee required.

2. Copies of some or all of the subject references were cited by, or submitted to the Office in related parent Application No. 09/728,756, filed 12/04/2000, which is relied upon under 35 U.S.C. § 120. Thus, copies of these references are not attached (except for copies of cited pending applications) 37 C.F.R. § 1.98(d).

Respectfully submitted,

William A. Henry, II

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Attorney for Applicant
Registration No. 26,403
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WAH

Form PTO-1449

US Dept. of Commerce
PATENT & TRADEMARK OFFICEATTY DOCKET NO.
D/01APPLICATION NO.
N/AINFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

APPLICANT Richard F. Bergen

FILING DATE N/A

GROUP ART UNIT N/A
2873

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE | CLASS | SUB CLASS |
|---------------------|-----------------|---------------------|-----------------------------|-------|--------------|
| <i>[Signature]</i> | 3,588,249 | 6/28/71 | Robert H. Studebaker | 356 | 4 |
| <i>[Signature]</i> | 4,674,870 | 6/23/87 | Gary L. Cain et al. | 356 | 4 |
| <i>[Signature]</i> | 4,679,937 | 7/14/87 | Gary L. Cain et. al. | 356 | 138 |
| <i>[Signature]</i> | 5,914,778 | 6/22/99 | Dawel Dong | 356 | 247 |
| <i>[Signature]</i> | 5,940,557 | 8/17/99 | Andrew Thomas Harker | 385 | 33 |
| <i>[Signature]</i> | 5,994,688 | 11/30/99 | Jonathan A. Jackson et. al. | 250 | 206.2 |
| <i>[Signature]</i> | 6,005,719 | 12/21/99 | Joseph F. Rando | 359 | 629 |
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OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)

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EXAMINER

DATE CONSIDERED

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Attorney Docket No. D/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Richard F. Bergen

Application No.: 09/728,756 Group: 2873

Filed: 12/04/2000 Examiner: Alicia M. Harrington

Title: LIGHT ALTERING DEVICE

Commissioner for Patents

Washington, D.C. 20231

Madam:

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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(c)(2)

The following patent is believed relevant to the present application:

U. S. Patent No. 5,898,809 to John Taboada et al. and issued April 27, 1997 discloses a sheet light projection system that projects a substantially uniform sheet of laser light using a fiber-optic bundle. The laser light is projected substantially orthogonal to the fiber-optic bundle, resulting in a sheet of light.

Respectfully submitted,

William A. Henry, II
(Signature)

William A. Henry, II
Attorney for Applicant
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Attachment: USPN 5,898,809

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|-----------------------------------|---------------------------------------|--|--|-------------|
| Notice of References Cited | Application/Control No. 09/728,756 | | Applicant(s)/Patent Under Reexamination BERGEN, RICHARD F. | |
| | Examiner Alicia M Harrington | | Art Unit 2873 | Page 1 of 1 |

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| | B | US-4,974,957 | 12-1990 | Kaelin, Barney | 359/443 |
| | C | US-3,790,257 | 02-1974 | Goldstein et al. | 359/845 |
| | D | US- | | | |
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| Notice of References Cited | Application/Control No. 09/728,756 | Applicant(s)/Patent Under Reexamination BERGEN, RICHARD F. | |
| | Examiner Alicia M Harrington | Art Unit 2873 | Page 1 of 1 |

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| | B | US-5,680,257 | 10-1997 | Anderson, Charles H. | 359/727 |
| | C | US-5,550,676 | 08-1996 | Ohe et al. | 359/619 |
| | D | US-4,871,232 | 10-1989 | Grinberg et al. | 359/618 |
| | E | US-4,309,746 | 01-1982 | Rushworth, Paul M. | 359/618 |
| | F | US-4,344,671 | 08-1982 | Lang, Paul W. | 359/618 |
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Notice of References Cited

Application/Control No.

09/728,756

Applicant(s)/Patent Under
Reexamination
BERGEN, RICHARD F.

Examiner

Alicia M Harrington

Art Unit

2873

Page 1 of 1

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| | B | US-6,502,319 ✓ | 01-2003 | Goodrich et al. | 33/286 |
| | C | US-5,836,081 ✓ | 11-1998 | Orosz, Jr., Steven J. | 33/DIG.21 |
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| | Examiner Alicia M Harrington | Art Unit 2873 | Page 1 of 1 |

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| | B | US- | | | |
| | C | US- | | | |
| | D | US- | | | |
| | E | US- | | | |
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